



CUSTOMER FEE SCHEDULE

TYPE OF FEE	FEE AMOUNT
Advantage Account Advantage Account – Basic (check writing only) Advantage Account – Standard (debit card & Check writing)	No annual fee for product \$125
Advantage Check Orders Individual Initial order – standard wallet Re-order standard wallet Initial order – standard duplicate Re-order – standard duplicate Corporate Initial Order – Three on a page Reorder – Three on a page	Free Service \$9 (plus applicable shipping & tax) \$20 (plus applicable shipping & tax) \$20 (plus applicable shipping & tax) \$151.09 \$105.52
Other Advantage Account Fees Insufficient Fund Stop Payment Returned Deposit Check Returned Check Additional Debit Cards Overnight delivery fee charged per card	\$20 \$25 \$10 \$25 \$10 \$20
Other Fees Prepayment on Sale (payment prior to settlement date) Lost Certificate (by customer or First Clearing) Alternative Investments (outside investment fee)	Margin rate with \$15 minimum \$100 plus any pass through fees \$100 per position (\$600 max)
Administrative Fees Estate Valuation (Historical Pricing) Administrative Research Fee (e.g. cost basis look up, statement reprints, historical transaction detail, etc.) Overnight Express Mail Financial Planning Bill Paying Service / Auto Pay set up Ongoing Administrative Fees	\$10 per cusip \$75 per hour Current rate plus \$10 processing Starting at \$750 \$20 per item Tiered schedule
Transfer Fees Investment Account Transfer to Another Broker Dealer Physical Certificate Reject Fee Direct Registration Reject Fee Physical Security Safekeeping Charge	\$95 \$50 \$75 \$150 annually per eligible security \$500 Initial presentment fee
Wire Transfer Fees Domestic International	\$25 \$25
Retirement Account Fees - Wells Fargo Clearing Services as Custodian IRA – Annual Maintenance IRA – Termination Fee (waived for over 70 ½ or death/disability distributions)	\$50 \$95
Annual Account Fees Standard Brokerage Inactivity Fee Custodial Accounts (UGMA & UTMA) Inactivity Fee	\$65 \$45

Annual account fees will be charged in September and will be based on the type of account you maintained on June 30.

The fees will be waived if one of the following criteria is met:

1. A household relationship with combined assets totaling \$500,000 or more as of June 30.
2. Any Investment Account, IRA or Advantage Account with mutual fund positions of \$100,000 or more. (Money-market funds, closed-end funds, and exchange-traded funds do not count towards this exemption.)
3. Managed accounts that pay a fee in lieu of commissions (Normal quarterly asset management fees will continue to apply.)
4. Investment Accounts that have completed at least six billable trades during the period July 1 of the previous year to June 30 in the current year (does not apply to Advantage, IRA or ESA accounts).
5. Delivery/Receive Versus Payment accounts.
6. Investment Accounts opened on or after January 1 of the current year.
7. Individual participant's accounts that are part of a Qualified Retirement Plan (QRP), excluding IRA accounts.

All fees subject to change without notice. Other fees may apply. Ask your representative for more information.

Updated July 2023

Commission Schedule for Brokerage Accounts (non-managed)

Quantity < 200

Quantity < 100

Principal	\$0 - \$799.99	=	2% of Principal + \$16.40 + \$2
Principal	\$800 - \$2,499.99	=	1.3% of Principal + \$22 + \$2
Principal	\$2,500 and above	=	0.9% of Principal + \$32 + \$2

Quantity > = 100

Principal	\$0 - \$799.99	=	2% of Principal + \$16.40
Principal	\$800 - \$2,499.99	=	1.3% of Principal + \$22
Principal	\$2,500 and above	=	0.9% of Principal + \$32

Quantity > 200

			<u>For quantities equal to 100</u>
Round Lot Principal	\$0 - \$2,499.99	=	1.3% of round lot principal + \$22
Round Lot Principal	\$2,500 - \$19,999.99	=	0.9% of round lot principal + \$32
Round Lot Principal	\$20,000 - \$29,999.99	=	0.6% of round lot principal + \$92
Round Lot Principal	\$30,000 - \$49,999.99	=	0.4% of round lot principal + \$152
Round Lot Principal	\$50,000 and above	=	\$0.00

Plus:

Price < \$45

Lot Quantity \$9 per lot for the first 10 lots and \$7 per lot for the remaining lots

Price > = \$45

Lot Quantity \$10 per lot for the first 10 lots and \$8 per lot for the remaining lots

Plus:

Odd Lot Principal	\$0.01 - \$799.99	=	2% of odd lot principal + \$16.4
Odd Lot Principal	\$800 - \$2,499	=	1.3% of odd lot principal + \$22
Odd Lot Principal	\$2,500 and above	=	0.9% of odd lot principal + \$32

Plus:

Principle

\$0 to \$499.99	=	10%
\$500 and above	=	15%

Plus:

8%

Plus:

\$6

Minimum

\$35.00

Advisory Account Fee Schedule

One time set up fee of up to \$1,000 on accounts less than \$500,000 of assets, charged when account is opened.

For accounts UNDER \$500,000

1.0% of assets

For accounts OVER \$500,000

\$1,000 base fee plus
0.75% of assets up to \$1 million plus
0.65% of assets over \$1 million plus
0.60% of assets over \$5 million

Administrative Services Fee Schedule

Tier Range	Annual Fee
\$0-100,000	\$250
\$100,000-200,000	\$350
\$200,000-300,000	\$450
\$300,000-400,000	\$550
\$400,000-500,000	\$650
\$500,000-750,000	\$750
>\$750,000	By negotiation

Accounts not serviced under an advisory or administrative services fee agreement are subject to a \$300 per year service fee per household. This fee can be avoided by enrolling in either of our fee-based services.